



Via Hand Delivery

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shiau et al.

Confirmation No. 9894

Application No.: 09/830,693

Group Art Unit: 1646

Filed: March 30, 1999
(§ 371 date: Jan. 29, 2002)

Examiner: Zeman, M.

For: METHODS AND COMPOUNDS FOR
MODULATING NUCLEAR RECEPTOR
ACTIVITY

Attorney Docket No.: 9811-013-999

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TRANSMITTAL OF SUBSTITUTE SEQUENCE LISTING
UNDER 37 C.F.R. § 1.825

HIS/B
Plunkett
2/4/03

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith a substitute Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §§ 1.825 and 1.821(c), (d) and (e), respectively.

I hereby state that the content of the paper and computer-readable copies of the Substitute Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same. I hereby state that the submission herein under 37 C.F.R. §1.821(g) does not include new matter.

Respectfully submitted,

Richard G. A. Bone

Date: January 28, 2003

Richard G. A. Bone
(Limited recognition under 37 C.F.R. § 10.9(b);
copy of certificate attached hereto.)

for Samuel B. Abrams (Reg. No. 30,605)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036
Tel. (212) 790 9090

addressed to: Box PCT Commissioner for Patents,
Washington, D.C. 20231, on January 8, 2002

LAW OFFICES OF JONATHAN ALAN QUINE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**For: METHODS AND COMPOUNDS FOR
MODULATING NUCLEAR RECEPTOR
ACTIVITY**

STATEMENT ACCOMPANYING
SEQUENCE LISTING

The undersigned hereby states that the Sequence Listing submitted concurrently herewith does not include matter which goes beyond the content of the application as filed and that the information recorded on the diskette submitted concurrently herewith is identical to the written Sequence Listing.

Respectfully submitted,

January 8, 2002

Date _____

Tom Hunter
Reg. No. 38,498

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
Ph.: (510) 337-7871 / Fax (510) 337-7877